



PATENT
Attorney Docket No.: UCSD1360-1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Albani et al. Art Unit: Unassigned
Application No.: 10/001,938 Examiner: Unassigned
Filed: October 31, 2001
Title: IMMUNOMODULATORY PEPTIDES DERIVED FROM HEAT SHOCK
PROTEINS AND USES THEREOF

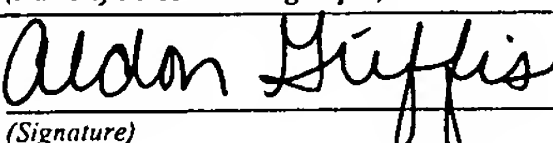
BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed
January 29, 2002, enclosed are:

1. One (1) copy of the Notice to File Missing Parts of Nonprovisional Application mailed January 29, 2002 (1 page);
2. One (1) executed Declaration For Patent Application (4 pages);
3. Check No. 501021 in the amount of \$912.00; and
4. Return Receipt Postcard.

| CERTIFICATION UNDER 37 CFR §1.8 | |
|---|---------------------------------|
| I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, March 20, 2002 , in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231. | |
| Aldon Griffis (Name of Person Mailing Paper) | |
|  (Signature) | March 20, 2002 (Date) |

In re Application of:

Albani et al.

Application No.: 10/001,938

Filed: October 31, 2001

Page 2

PATENT

Attorney Docket No.: UCSD1360-1

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

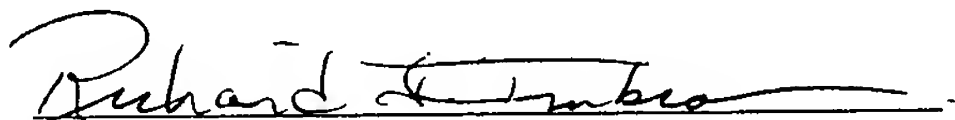
The filing fee has been calculated as follows.

| | Number Filed | | Number Extra | | Rate | | | Fee | |
|---|-----------------|---|-----------------|---|-----------------|-----------------|---|-----------------|-----------------|
| | | | | | Small Entity | Large Entity | | Small Entity | Large Entity |
| Total Claims | 73-20 | = | 53 | X | \$09 | \$18 | = | \$477.00 | \$.00 |
| Independent Claims | 3-3 | = | 0 | X | \$42 | \$84 | = | \$ 0.00 | \$.00 |
| Multiple Dependent Claims Presented: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | | | \$140 | \$280 | | \$.00 | \$.00 |
| | | | | | BASIC FEE | | | \$ 370.00 | \$ 740.00 |
| | | | | | SURCHARGE FEE | | | \$ 65.00 | \$ 130.00 |
| | | | | | TOTAL FEE | | | \$ 912.00 | \$.00 |

Enclosed is Check No. 501021 in the total amount of \$912.00; which consists of \$370.00 for the filing fee, \$477.00 for 53 total claims over 20 claims and \$65.00 for the surcharge fee. The Commissioner is hereby authorized to charge any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: March 20, 2002



Richard J. Imbra

Registration No. 37,643

Telephone: (858) 677-1496

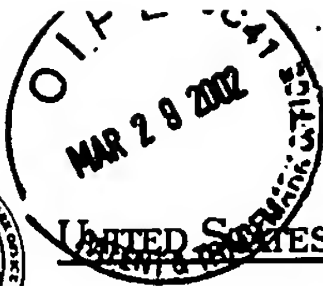
Facsimile: (858) 677-1465

USPTO CUSTOMER NUMBER 28213

GRAY CARY WARE & FREIDENRICH LLP

4365 Executive Drive, Suite 1100

San Diego, California 92121-2133



PATENT DOCKETING

FEB 08 2002

Page 1 of 1

#5

GRAYCARY/TELLIS
UNITED STATES PATENT AND TRADEMARK OFFICECOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/001,938 | 10/31/2001 | Salvatore Albani | UCSD1360-1 |

CONFIRMATION NO. 8878

28213
GARY CARY WARE & FRIENDENRICH LLP
4365 EXECUTIVE DRIVE
SUITE 1600
SAN DIEGO, CA 92121-2189

FORMALITIES LETTER



OC000000007384717

Date Mailed: 01/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$477.
 - \$477 for 53 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 912.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/01/2002 ECHAU1 00000077 10001938

| | |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:203 | 477.00 OP |
| 03 FC:205 | 65.00 OP |